

<b>DECISION-MAKER:</b>	AUDIT COMMITTEE		
<b>SUBJECT:</b>	AUDIT COMMISSION: AUDIT AND INSPECTION PROGRESS REPORT		
<b>DATE OF DECISION:</b>	22 SEPTEMBER 2010		
<b>REPORT OF:</b>	CHIEF INTERNAL AUDITOR		
<b>AUTHOR:</b>	Name:	Neil Pitman	Tel: 023 8083 4616
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#### STATEMENT OF CONFIDENTIALITY

NOT APPLICABLE

#### SUMMARY

The audit and inspection plan is based on the Audit Commission's risk-based approach to audit planning as set out in the Code of Audit Practice

An update on progress against the Plan(s) is attached as Appendix 1 to this report.

#### RECOMMENDATIONS:

- (i) That the Audit Committee notes the Audit Commission's report as attached.

#### REASONS FOR REPORT RECOMMENDATIONS

1. The Audit Committee's Terms of Reference require it to be satisfied and provide assurance to the Standards and Governance Committee that appropriate action is being taken on risk and internal control related issues identified by the external auditors. Specifically, the Committee has responsibility for oversight of the reports of external audit.

#### CONSULTATION

2. The reports, as attached, have been discussed and agreed with the appropriate officers.

#### ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

3. None

#### DETAIL

4. The Audit Commission's Progress report – September 2010 is attached for consideration in Appendix 1.

The external auditor will be in attendance at the Committee meeting to answer any questions.

#### FINANCIAL/RESOURCE IMPLICATIONS

##### Capital

5. None

##### Revenue

6. None

**Property**

7. None

**Other**

8. None

**LEGAL IMPLICATIONS**

**Statutory power to undertake proposals in the report:**

9. The duties and powers of auditors appointed by the Audit Commission are set out in the Local Government Act 1999.

**Other Legal Implications:**

10. None

**POLICY FRAMEWORK IMPLICATIONS**

11. None

**SUPPORTING DOCUMENTATION**

**Appendices**

1.	Audit Commission: Progress report – September 2010
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**Documents In Members’ Rooms**

1.	None
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**Background Documents**

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
1. None	

**Background documents available for inspection at:** Internal Audit Office, North Block Basement, Civic Centre

E-mail: [Neil.pitman@southampton.gov.uk](mailto:Neil.pitman@southampton.gov.uk)

**FORWARD PLAN No:** n/a **KEY DECISION?**

n/a

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**WARDS/COMMUNITIES AFFECTED:**

n/a